EXHIBIT 300   **CAPITAL ASSET PLAN AND BUSINESS CASE SUMMARY**

Exhibit 300: Capital Asset Plan and Business Case Summary
Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview

1. **Date of Submission:** 2011-01-19

2. **Agency:** 011

3. **Bureau:** 10

4. **Name of this Investment:** FBI Integrated Automated Fingerprint Identification System (IAFIS)

5. **Unique Project (Investment) Identifier (UPI):** 011-10-01-03-01-3458-00

6. **What kind of investment will this be in FY 2012?:** Mixed Life Cycle
   - Planning
   - Full Acquisition
   - Operations and Maintenance
   - Mixed Life Cycle
   - Multi-Agency Collaboration

7. **What was the first budget year this investment was submitted to OMB?** FY2001 or earlier

8.
   a. **Provide a brief summary of the investment and justification, including a brief description of how this closes in part or in whole an identified agency performance gap, specific accomplishments expected by the budget year and the related benefit to the mission, and the primary beneficiary(ies) of the investment.**

   This investment includes IAFIS costs, including the costs to maintain IAFIS during the transition to Next Generation Identification. Costs reflect the necessary upgrades associated with maintaining and decommissioning IAFIS. As a result of an internal replan, there has been a reduction in IAFIS costs. Due to the planned decommissioning of IAFIS, cost reductions occur in FY15. The IAFIS is a rapid, electronic fingerprint identification and criminal history system that responds to law enforcement agencies within two hours and to authorized civil agencies within twenty-four hours. Prior to the IAFIS, fingerprint identification was a manual, labor intensive process which took weeks or months to complete. The IAFIS provides identification, image exchange, and criminal history services to 80,000 law enforcement agencies and qualified civil agencies. The IAFIS is internationally recognized as the biometric system leader and contains the largest fingerprint repository in the world. The system was deployed in July 1999 based on 12-year old technology. The IAFIS continues to operate satisfactorily with regularly scheduled IAFIS builds for defect correction and system enhancements. Due to increased demand for new and existing services, upgrades are required. The IAFIS is nearing its technical end of life and will be incrementally replaced with the Next Generation Identification system; however, costs to maintain and upgrade the IAFIS until the decommissioning in FY2015 are critical in meeting customer needs and achieving the mission of the CJIS Division.

   b. **Provide any links to relevant websites that would be useful to gain additional information on the investment including links to GAO and IG reports.**

Title	Link
NONE	

9.
   a. **Provide the date of the Agency's Executive/Investment Committee approval of this investment.**
   2010-06-04

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b. **Provide the date of the most recent or planned approved project charter.** 1994-09-30

10. Contact information?
  a. **Program/Project Manager Name:** *
     Phone Number: *
     Email: *
  b. **Business Function Owner Name (i.e. Executive Agent or Investment Owner):** Daniel D. Roberts
     Phone Number: *
     Email: *

11. What project management qualifications does the Project Manager have? (choose only one per FAC-P/PM or DAWIA): Project manager has been validated according to FAC-P/PM or DAWIA criteria as qualified for this investment.

- Project manager has been validated according to FAC-P/PM or DAWIA criteria as qualified for this investment.
- Project manager qualifications according to FAC-P/PM or DAWIA criteria is under review for this investment.
- Project manager assigned to investment, but does not meet requirements according to FAC-P/PM or DAWIA criteria.
- Project manager assigned but qualification status review has not yet started.
- No project manager has yet been assigned to this investment.

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Section B: Summary of Funding (Budget Authority for Capital Assets)

1.

Table I.B.1: Summary of Funding (In millions of dollars) (Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)									
	PY-1 and earlier	PY 2010	CY 2011 (CY Continuing Resolution)	BY 2012	BY+1 2013	BY+2 2014	BY+3 2015	BY+4 and beyond	Total
Planning:	*	*	*	*	*	*	*	*	*
Acquisition:	*	*	*	*	*	*	*	*	*
Planning & Acquisition Government FTE Costs	*	*	*	*	*	*	*	*	*
Subtotal Planning & Acquisition(DME):	*	*	*	*	*	*	*	*	*
Operations & Maintenance:	*	*	*	*	*	*	*	*	*
Disposition Costs (optional):	*	*	*	*	*	*	*	*	*
Operations, Maintenance, Disposition Government FTE Costs	*	*	*	*	*	*	*	*	*
Subtotal O&M and Disposition Costs (SS):	*	*	*	*	*	*	*	*	*
TOTAL FTE Costs	*	*	*	*	*	*	*	*	*
TOTAL (not including FTE costs):	*	*	*	*	*	*	*	*	*
TOTAL (including FTE costs):	*	*	*	*	*	*	*	*	*
Number of FTE represented by	*	*	*	*	*	*	*	*	*

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Table I.B.1: Summary of Funding
(In millions of dollars)
(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)

	PY-1 and earlier	PY 2010	CY 2011 (CY Continuing Resolution)	BY 2012	BY+1 2013	BY+2 2014	BY+3 2015	BY+4 and beyond	Total
Costs:									

2. Insert the number of years covered in the column "PY-1 and earlier": 16

3. Insert the number of years covered in the column "BY+4 and beyond": *

4. If the summary of funding has changed from the FY 2011 President's Budget request, briefly explain those changes:
*

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Section C: Acquisition/Contract Strategy (All Capital Assets)

1.

Table I.C.1 Contracts Table													
Contract Status	Contracting Agency ID	Procurement Instrument Identifier (PIID)	Indefinite Delivery Vehicle (IDV) Reference ID	Solicitation ID	Alternative financing	EVM Required	Ultimate Contract Value (M)	Type of Contract/Task Order (Pricing)	Is the contract a Performance Based Service Acquisition (PBSA)?	Effective date	Actual or expected End Date of Contract/Task Order	Extent Competed	Short description of acquisition
Awarded		DJFA6D605600	V797049A3GP070		*	*	$536.0	Firm Fixed Price	N	2005-10-19	2006-09-30	N	CLIN AA02 O&M MANAGEMENT SERV
Awarded		DJFM7D701300	W91QUZ04D0004		*	*	$194.0	Cost Plus Incentive	N	2006-11-22	2011-09-30	Y	0200-hardware/maintenance

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:
*

3.
    a.**Has an Acquisition Plan been developed? If yes, please answer the questions that follow** *
    b.**Does the Acquisition Plan reflect the requirements of FAR Subpart 7.1** *
    c.**Was the Acquisition Plan approved in accordance with agency requirements** *
    d.**If "yes," enter the date of approval?** *
    e.**Is the acquisition plan consistent with your agency Strategic Sustainability Performance Plan?** *
    f.**Does the acquisition plan meet the requirements of EOs 13423 and 13514?** *
    g.**If an Acquisition Plan has not been developed, provide a brief explanation.**
      *

Part II: IT Capital Investments

Section A: General

1.
   a. **Confirm that the IT Program/Project manager has the following competencies: configuration management, data management, information management, information resources strategy and planning, information systems/network security, IT architecture, IT performance assessment, infrastructure design, systems integration, systems life cycle, technology awareness, and capital planning and investment control.** yes
   b. **If not, confirm that the PM has a development plan to achieve competencies either by direct experience or education.**

2. **Describe the progress of evaluating cloud computing alternatives for service delivery to support this investment.** DOJ will include cloud computing (CC) capabilities in its infrastructure when they are mature enough to support DOJ missions and security requirements. Its CC strategy will define a service approach for implementing CC capabilities across DOJ.

3. **Provide the date of the most recent or planned Quality Assurance Plan** 2008-05-09

4.
   a. **Provide the UPI of all other investments that have a significant dependency on the successful implementation of this investment.**
   b. **If this investment is significantly dependent on the successful implementation of another investment(s), please provide the UPI(s).**

5. **An Alternatives Analysis must be conducted for all Major Investments with Planning and Acquisition (DME) activities and evaluate the costs and benefits of at least three alternatives and the status quo. The details of the analysis must be available to OMB upon request. Provide the date of the most recent or planned alternatives analysis for this investment.** 2009-06-05

6. **Risks must be actively managed throughout the lifecycle of the investment. The Risk Management Plan and risk register must be available to OMB upon request. Provide the date that the risk register was last updated.** 2010-08-12

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Section B: Cost and Schedule Performance

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost ($M)	Actual Cost ($M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
IAFIS FY06 and Prior	DME	*	$1,633.4	$1,633.4	1994-01-03	1994-01-03	2006-09-30	2006-09-30	100.00%	100.00%
IAFIS FY07	SS	*	$115.7	$115.7	2006-10-01	2006-10-01	2007-09-30	2007-09-30	100.00%	100.00%
IAFIS FY08	SS	*	$71.9	$71.9	2007-10-01	2007-10-01	2008-09-30	2008-09-30	100.00%	100.00%
IAFIS FY09	SS	*	$72.3	$86.6	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
IAFIS FY10	SS	*	$64.0	$60.0	2009-10-01	2009-10-01	2010-09-30		100.00%	94.10%
IAFIS FY11	SS	*	$38.5	$24.9	2010-10-01	2010-10-01	2011-09-30		67.00%	65.00%
IAFIS FY12	SS	*	$39.8		2011-10-01		2012-09-30		0.00%	0.00%
IAFIS FY13	SS	*	*	*	2012-10-01	*	2013-09-30	*	*	*
IAFIS FY14	SS	*	*	*	2013-10-01	*	2014-09-30	*	*	*
IAFIS FY15	SS	*	*	*	2014-10-01	*	2015-09-30	*	*	*

2. If the investment cost, schedule, or performance variances are not within 10 percent of the current baseline, provide a complete analysis of the reasons for the variances, the corrective actions to be taken, and the most likely estimate at completion.

3. For mixed lifecycle or operations and maintenance investments an Operational Analysis must be performed annually. Operational analysis may identify the need to redesign or modify an asset by identifying previously undetected faults in design, construction, or installation/integration, highlighting whether actual operation and maintenance costs vary significantly from budgeted costs, or documenting that the asset is failing to meet program requirements. The details of the analysis must be available to OMB upon request. Insert the date of the most recent or planned operational analysis. 2010-04-21

4. Did the Operational analysis cover all 4 areas of analysis: Customer Results, Strategic and Business Results, Financial Performance, and Innovation? yes

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Section C: Financial Management Systems

Table II.C.1: Financial Management Systems			
System(s) Name	**System acronym**	**Type of Financial System**	**BY Funding**
*	*	*	*

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Section D: Multi-Agency Collaboration Oversight (For Multi-Agency Collaborations only)

Table II.D.1. Customer Table:

Customer Agency	Joint exhibit approval date
NONE	

Table II.D.2. Shared Service Providers

Shared Service Provider (Agency)	Shared Service Asset Title	Shared Service Provider Exhibit 53 UPI (BY 2011)
*	*	*

Table II.D.3. For IT Investments, Partner Funding Strategies ($millions):

Partner Agency	Partner exhibit 53 UPI (BY 2012)	CY Monetary Contribution	CY "In-Kind" Contribution	CY Fee-for-Service	BY Monetary Contribution	BY "In-Kind" Contribution	BY Fee-for-Service
NONE							

Table II.D.4. Legacy Systems Being Replaced

Name of the Legacy Investment of Systems	Current UPI	Date of the System Retirement
*	*	*

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Section E: Performance Information

Table I.E.1a. Performance Metric Attributes							
Measurement Area (For IT Assets)	Measurement Grouping (For IT Assets)	Measurement Indicator	Reporting Frequency	Unit of Measure	Performance Measure Direction	Baseline	Year Baseline Established for this measure (Origination Date)
Customer Results	Response Time	IAFIS Processing Capability and Response Time	annual	Processing capacity in number of transactions	Up	IAFIS Processing Capability is 160,000 per day with a 2-hour Response Time for 95% of electronic routine criminal fingerprint transactions and 24-hour Response Time for 99% of Civil fingerprint transactions	2007-08-20
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2007	94% of electronic criminal fingerprints processed within 2 hours. 98% of electronic civil fingerprints processed within 24 hours	98% of electronic criminal fingerprints were processed within 2 hours. 99% of electronic civil fingerprints were processed within 24 hours	Met	2011-02-23
Customer Results	Response Time	IAFIS Processing Capability and Response Time	annual	Processing capacity in number of transactions	Up	IAFIS Processing Capability is 160,000 per day with a 2-hour Response Time for 95% of electronic routine criminal fingerprint transactions and 24 hour Response Time for 99% of civil fingerprint transactions	2007-08-20
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2008	Avg daily fp wkload processing capability will increase to 207,181	IAFIS avg daily fp wkload processing capability has increased	Met	2011-02-23

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				based on SRD V4.3 avg fp wkload est. Response times: Electronic Crim high-10min, routine-30min, low-4hrs, non-urgent -15days; Electronic Civil high-15min, routine-2hrs, low-24hrs	to an average of 180,000/day (220K capacity). Response times: Electronic Crim high-10min, routine-30min, low-24hrs, non-urgent-15days; Electronic Civil high-15min, routine-2hrs, low-24hrs		
Customer Results	Response Time	IAFIS Processing Capability and Response Time	annual	Processing capacity in number of transactions	Up	IAFIS Processing Capability is 160,000 per day with a 2-hour Response Time for 95% of electronic routine criminal fingerprint transactions and 24 hour response time for 99% of civil fingerprint transactions	2007-08-20

			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2009	Avg daily fp wkload processing capability will increase to 233,000 based on SRD V4.4 avg wkload est. Response times: Electronic Crim high-10min, routine-30min, low-24hrs, non-urgent-15days; Elec. Civil high-15min, routine-2hrs, low-24hrs	FY09 avg daily processing 144,365. Processing capacity is estimated to be 233,000. 98.2% of electronic criminal prints processed within 2 hrs. 98.7% of electronic civil prints processed within 24 hrs	Met	2011-02-23
Customer Results	Response Time	Improve identification and processing capabilities to external community	annual	Number of transactions	Up	1)134,000 avg daily identification searches (excluding CBP) 2)517 avg daily latent investigative searches 3)2hr response time for routine crim submission 4)24hr response time for routine civil submission 5)95% accuracy rate for fp searches	2010-08-20

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			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2010	1)177,000 avg daily identification searches (excluding CBP) 2)568 avg daily latent investigative searches 3)2hr response time for routine crim submissions 4)24hr response time for routine civil submissions 5)95% accuracy rate for fp searches	1) Avg daily 132,064; max processed in one day 300,113 2) 682 avg daily latent inv searches 3) 8 minutes 42 seconds - civil 4) 55 minutes 24 seconds - criminal 5) Accuracy Rate 94%	Met	2011-02-23
Customer Results	Response Time	Improve identification and processing capabilities to external community	annual	Number of transactions	Up	1)177,000 avg daily identification searches (excluding CBP) 2)568 avg daily latent investigative searches 3)2hr response time for routine crim submission 4)24hr response time for routine civil submissions 5)95% accuracy rate for fp searches	2010-08-20

			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2011	1)191,000 avg daily identification searches (excluding CBP) 2)624 avg daily latent investigative searches 3)2hr response time for routine crim submissions 4)24hr response time for routine civil submissions 5)99% accuracy rate for fp searches	TBD	Not Due	2011-02-23
Customer Results	Response Time	Improve identification and processing capabilities to external community	annual	Number of transactions	Up	1)IAFIS:191,000 avg daily ident searches (exc CBP) 2)NGI:624 avg daily latent investigative searches 3)IAFIS:2hr response time for routine crim submissions	2010-08-20

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| | | | | | | 4)IAFIS:24hr response time for routine civil submissions 5)NGI:99% accuracy rate for fp search | |

			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2012	1)IAFIS:211,000 avg daily ident searches (exc CBP) 2)NGI:686 avg daily latent investigative search 3)IAFIS:1hr response time for routine crim submission 4)IAFIS:12hr response time for routine civil submission 5)NGI:99% accuracy rate for fp search	TBD	Not Due	2011-02-23
Customer Results	Response Time	Improve identification and processing capabilities to external community	annual	Number of transactions	Up	1)IAFIS:211K avg daily ident searches (exc CBP) 2)NGI:686 avg daily latent investigative search 3)IAFIS:1hr response time for routine crim submission 4)IAFIS:12hr response time for routine civil submission 5)NGI:99% accuracy rate for fp searches	2010-08-20

			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2013	1)IAFIS:230K avg daily ident searches (exc CBP) 2)NGI:753 avg daily latent investigative search 3)IAFIS:30min response time for routine crim submission 4)IAFIS:2hr response time for routine civil submission 5)NGI:99% accuracy rate for fp	TBD	Not Due	2011-02-23

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searches

| Customer Results | Response Time | Improve identification and processing capabilities to external community | annual | Number of transactions | Up | 1)IAFIS:230K avg daily ident searches (exc CBP) 2)NGI:753 avg daily latent investigative search 3)IAFIS:30 min response time for routine criminal submission 4)IAFIS:2hr response time for routine civil submission 5)NGI:99% accuracy rate for fp search | 2010-08-20 |

Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
2014	1)NGI:230K daily ident searches (exc CBP) 2)NGI:828 avg daily latent investigative searches 3)NGI:30min response time for routine crim submission 4)NGI:2hr response time for routine civil submission 5)NGI:99% accuracy rate for fp searches	TBD	Not Due	2011-02-23

| Customer Results | Response Time | Improve identification and processing capabilities to external community | annual | Number of transactions | Up | 1)230,000 avg daily idetification searches (excluding CBP) 2)828 avg daily latent investigative searches 3)30min response time for routine crim submissions 4)2hr response time for routine civil submissions 5)99% accuracy rate for fp searches | 2010-08-20 |

Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
2015	1)259,000 avg daily identificaton searches	TBD	Not Due	2011-02-23

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						(excluding CBP) 2)910 avg daily latent investigative searches 3)30min response time for routine crim submissions 4)2hr response time for routine civil submissions 5)99% accuracy rate for fp searches	
Processes and Activities	Efficiency	Improve internal efficiencies	annual	Number of ATWs deployed	Up	1) No ATWs deployed 2) 99.7% system availability 3) Process 114,748 Electronic Disposition Maintenance Requests	2010-08-20

Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
2010	1) 100% ATWs deployed 2) 99.7% system availability 3) Process 114,748 Electronic Disposition Maintenance Requests	1) 100% ATWs deployed 2) System Availability 99.4% 3) 438,827 Dispo Maintenance Requests processed	Not Met	2011-02-23

Processes and Activities	Efficiency	Improve internal efficiencies	annual	Number of Electronic Disposition Requests	Up	1) 100% ATWs deployed 2) 99.7% system availability 3) Process 114,748 Electronic Disposition Maintenance Requests	2010-08-20

Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
2011	1) 100% ATWs deployed 2) 99.7% system availability 3) Process 118,821 Electronic Disposition Maintenance Requests	TBD	Not Due	2011-02-23

Processes and Activities	Efficiency	Improve internal efficiencies	annual	Number of Electronic Disposition Requests	Up	1)NGI:100% ATWs deployed 2)IAFIS:99.7% system availability 3)IAFIS:Process	2010-08-20

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					118,821 Electronic Dispo Maintenance Requests 4)NGI:Limited ULW Interface capabilities	
			Fiscal Year	**Target**	**Actual Results**	**Target "Met" or "Not Met"**	**Last Updated**
			2012	1)NGI:100% ATWs deployed 2)IAFIS:99.7% system availability 3)IAFIS:Process 123,889 Electronic Dispo Maintenance Requests 4)NGI:ULW Interface, 25% reduction in latent search prep time	TBD	Not Due	2011-02-23
Processes and Activities	Efficiency	Improve internal efficiencies	annual	Number of Electronic Disposition Requests	Up	1)NGI:100% ATWs deployed 2)IAFIS:99.7% system availability 3)IAFIS:Process 123,889 Electronic Dispo Maintenance Requests 4)NGI:ULW interface, 25% reduction in latent search prep time	2010-08-20
			Fiscal Year	**Target**	**Actual Results**	**Target "Met" or "Not Met"**	**Last Updated**
			2013	1)NGI:100% ATWs deployed 2)IAFIS:99.7% system availability 3)IAFIS:Process 128,645 Electronic Dispo Maintenance Requests 4)NGI:ULW workflow, 25% reduction in latent image comparison time	TBD	Not Due	2011-02-23
Processes and Activities	Efficiency	Improve internal efficiencies	annual	Number of Electronic Disposition Requests	Up	1)NGI:100% ATWs deployed 2)IAFIS:99.7% system availability 3)IAFIS:Process 128,645 Electronic Dispo Maintenance Requests 4)NGI:ULW workflow, 25% reduction in latent	2010-08-20

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					image comparison time	
			Fiscal Year	**Target**	**Actual Results**	**Target "Met" or "Not Met"**	**Last Updated**
			2014	1)NGI:100% ATWs deployed 2)IAFIS:99.7% system availability 3)IAFIS:Process 133,591 Electronic Dispo Maintenance Requests 4)NGI:Maintain ULW workflow and interface	TBD	Not Due	2011-02-23
Processes and Activities	Efficiency	Improve internal efficiencies.	annual	Number of Electronic Disposition Requests	Up	1) 100% ATWs deployed 2) 99.7% system availability 3) Process 133,591 Electronic Disposition Maintenance Requests	2010-08-20
			Fiscal Year	**Target**	**Actual Results**	**Target "Met" or "Not Met"**	**Last Updated**
			2015	1) 100% ATWs deployed 2) 99.7% system availability 3) Process 138,734 Electronic Disposition Maintenance Requests	TBD	Not Due	2011-02-23
Customer Results	Service Efficiency	Improve response times and accuracy	annual	Accuracy Rate	Up	6)NGI:No accuracy requirement for RISC pilot searches 7)NGI:No response time requirements for RISC pilot searches 8)NGI:No accuracy requirement for cascaded ULF searches	2010-12-07
			Fiscal Year	**Target**	**Actual Results**	**Target "Met" or "Not Met"**	**Last Updated**
			2012	6)NGI:98% accuracy for RISC searches 7)NGI:10 sec response for standard RISC responses 8)NGI:No accuracy requirement for	TBD	Not Due	2011-02-23

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				cascaded ULF searches			
Customer Results	Service Efficiency	Improve response times and accuracy	annual	Accuracy Rate	Up	6)NGI:98% accuracy for RISC searches 7)NGI:10 sec response for standard RISC responses 8)NGI:No accuracy requirement for cascaded ULF searches	2010-12-07

Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
2013	6)NGI:98% accuracy for RISC searches 7)NGI:10 sec response for standard RISC responses 8)NGI:75% accuracy for cascaded ULF searches	TBD	Not Due	2011-02-23

Customer Results	Service Efficiency	Improve response times and accuracy	annual	Accuracy Rate	Up	6)NGI:98 accuracy for RISC searches 7)NGI:10 sec response for standard RISC responses 8)NGI:75% accuracy for cascaded ULF searches	2010-12-07

Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
2014	6)NGI:98% accuracy for RISC searches 7)NGI:10 sec response for standard RISC responses 8)NGI:75% accuracy for cascaded ULF searches	TBD	Not Due	2011-02-23

Mission and Business Results	Citizen Protection	Number of Transactions and Response Time for IAFIS Fast Response to search against the Repository for Individuals of Special Concern (RISC) containing the Known or Appropriately Suspected	annual	Number of transactions	Up	0% Fast Search Capability	2007-08-20

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Terrorists and the
Wanted Persons & Sex
Offenders Database

Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
2007	Deploy CJIS RISC Pilot to respond to rapid searches from pilot agencies	CJIS RISC Pilot deployed 09/28/2007	Met	2011-02-23

Mission and Business Results	Citizen Protection	Number of Transactions and Response Time for IAFIS Fast Response to search against the Repository for Individuals of Special Concern (RISC) containing the Known or Appropriately Suspected Terrorists and the Wanted Persons, & Sex Offenders Databas	annual	Number of transactions	Up	Yearly RISC rapid search capacity is 146,000 based on average yearly fingerprint workload estimates (SRD V4.4)	2007-08-20

Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
2009	Yearly RISC rapid search capacity is 146,000 based on average yearly fingerprint workload estimates	During FY09, RISC search capacity was 146,000 per year	Met	2011-02-23

Mission and Business Results	Citizen Protection	Number of Transactions and Response Time for IAFIS Fast Response to searches against the Repository for Individuals of Special Concern (RISC) containing the Known or Appropriately Suspected Terrorists and the Wanted Persons, & Sex Offenders Datab	annual	Number of transactions	Up	Daily RISC rapid search capacity is 3,000 based on average daily fingerprint workload estimates (SRDV4.3)	2007-08-20

Fiscal Year	Target	Actual Results	Target	Last Updated

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			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2008	Yearly RISC rapid search capacity is 146,000 based on average yearly fingerprint workload estimates.(S RD V4.4)	During FY08 the CJIS RISC Pilot processed 230,838 live, test, and special project transactions	Met	2011-02-23
Processes and Activities	Efficiency	Percentage of all ten print transactions requiring a service provider to conduct a manual quality check process	annual	Percent of transactions requiring manual QC	Down	50% require a manual check	2007-08-20
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2008	Reduce to 25% or less, continue modification of rule based process through HMI	20% or less require manual Quality Check	Met	2011-02-23
Processes and Activities	Efficiency	Percentage of all ten print transactions requiring a service provider to conduct a manual quality check process	annual	Percent of transactions requiring manual QC	Down	50% require a Manual Check	2007-08-20
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2009	Reduce to 25% or less, continue modification of rule based process through HMI	For FY09, the manual automation rate was at approximately 9.33%	Met	2011-02-23
Processes and Activities	Efficiency	Percentage of all ten print transactions that require a Service Provider to conduct a Manual Quality Check process	annual	Percent transactions requiring Manual QC	Down	50% require a manual check	2007-08-20
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated

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			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2007	Reduce to 25%	The implementation of Phase 3 (July 2007) automated approximately 80% of incoming submissions. The manual automation rate is at approximately 20%	Met	2011-02-23
Technology	External Data Sharing	Provide iris data and palmprints as a new modality	annual	Number of iris images and palmprints	Up	No repository for iris images and features and palmprints	2007-08-20
			Fiscal Year	**Target**	**Actual Results**	**Target "Met" or "Not Met"**	**Last Updated**
			2008	Palmprint data capacity is estimated to be 4M	Palmprint data capacity is estimated to be 4.4M; IAFIS had over 281,000 palmprints stored at the end of FY08	Met	2011-02-23
			2009	Palmprint data capacity is estimated to be 4.4M	IAFIS has approximately 650,000 palmprints stored. Capacity is estimated to be 4.4M	Met	2011-02-23
Technology	External Data Sharing	Provide iris data and palmprints as new modality	annual	Number of iris images and palmprints	Up	No repository for iris images and features and palmprints	2007-08-20
			Fiscal Year	**Target**	**Actual Results**	**Target "Met" or "Not Met"**	**Last Updated**
			2007	Implement NGI Quick Win - additional biometric receipt and store	Implementation of NGI Quick Win - additional biometric receipt and store provided capability to receive iris data (Sept 2007)	Met	2011-02-23
Mission and Business Results	Criminal Apprehension	Support Federal, State, and Local programs by providing new services	annual	Number of Palmprints stored	Up	1) 650,000 Palm Prints stored, no automated search capability 2) No Iris capability 3) 7.9M photo storage capacity	2010-08-20

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			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
						4) Capacity for 146,000 RISC rapid searches per year, 3 states participating 5) No RapBack Service	
			2010	1) 1.2M Palm Prints stored 2) No Iris capability 3) 10.6M photo storage capacity 4) Capacity for 1.825M RISC rapid searches per year, 4 states participating 5) No RapBack Service	1) 1.5M Palm Prints stored 2) No Iris capability 3) Capacity for 10.6M photos 4) RISC Capacity 1.97M, 5 states participating 5) No RapBack Service	Met	2011-02-23
Mission and Business Results	Criminal Apprehension	Support Federal, State, and Local programs by providing new services	annual	Number of Palmprints stored	Up	1) 1.2M Palm Prints stored, no automated search capability 2) No Iris capability 3) 10.6M photo storage capacity 4) Capacity for 1.825M RISC rapid searches per year, 4 states participating 5) No RapBack Service	2010-08-20

			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2011	1) 1.3M Palm Prints stored 2) No Iris capability 3) 16.3M photo storage capacity 4) Capacity for 3.650M RISC rapid searches per year, 6 states participating 5) No RapBack Service	TBD	Not Due	2011-02-23
Mission and Business Results	Criminal Apprehension	Support Federal, State, and Local programs by providing new services	annual	Number of Palmprints stored	Up	1)NGI:1.7M PalmPrints stored; no automated search capability 2)NGI:Facial Rec pilot for inv searches 3)IAFIS:18.7M photo	2010-08-20

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			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
				storage capacity 4)Moved to OMB ID5526 5)NGI:No RapBack Service			
			2013	1)NGI:2.0M PalmPrints stored; Implementation of National PalmPrint System 2)NGI:Facial Rec Pilot for inv searches 3)IAFIS:26.6M photo storage capacity 4)Moved to OMB ID5526 5)NGI:No RapBack Service	TBD	Not Due	2011-02-23
Mission and Business Results	Criminal Apprehension	Support Federal, State, and Local programs by providing new services	annual	Number of Palmprints stored	Up	1)NGI:2.0M PalmPrints stored 2)NGI:Face Recognition on Pilot for invest searches 3)IAFIS:26.6M photo storage capacity 4)Moved to OMB ID5530 5)NGI:No RapBack Service	2010-08-20

			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2014	1)NGI:2.5M PalmPrints stored 2)NGI:Face Recognition on Pilot for invest search 3)NGI:57.5M photo storage capacity 4)Moved to OMB ID5530 5)NGI:RapBack Capacity 40M, 2 states participating	TBD	Not Due	2011-02-23
Mission and Business Results	Criminal Apprehension	Support Federal, State, and Local programs by providing new services.	annual	Number of Palmprints stored	Up	1)NGI:1.3M PalmPrints stored, no automated search capability 2)NGI:Facial Recognition Pilot for investigative searches	2010-08-20

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Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			3)IAFIS:16.3M photo storage capacity 4)Moved to OMB ID5522 5)NGI:No RapBack Service	
2012	1)NGI:1.7M PalmPrints stored; no automated search capability 2)NGI:Facial Recognition Pilot for investigative searches 3)IAFIS:18.7M photo storage capacity 4)Moved to OMB ID5522 5)NGI:No RapBack Service	TBD	Not Due	2011-02-23

Mission and Business Results	Criminal Apprehension	Support Federal, State, and Local programs by providing new services.	annual	Number of Palmprints stored	Up	1)2.5M Palm Prints stored 2)Capacity for 47 Iris searches daily 3)57.5M photo storage capa city ,28, 000 photo retrievals daily 4)Capacity for 20.075M RISC rapid searches yearly,17 states participating 5)RapBack capacity 40M;2 states participating	2010-08-20

Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
2015	1)2.5M Palm Prints stored 2)Capacity for 139 Iris searches daily 3)92M photo storage capa city ,31, 000 photo retrievals daily 4)Capacity for 22.082M RISC rapid searches yearly,21 states participating 5)RapBack capacity 82M;5 states participating	TBD	Not Due	2011-02-23

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| Technology | External Data Sharing | Support interagency information sharing | annual | Number of searches against external biometric systems | Up | 1)5,902 daily submissions to external biometric systems 2) 72 hour search for CBP POE submissions in IAFIS | 2010-08-20 |

Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
2010	1) Increase the number of daily searches against an external biometric system by 5% 2)Ensure that 100% of CBP POE submissions are responded to within 72 hours in IAFIS	1) Increased daily searches by 330%; 2) 86.04% less than 15 min, 98.79% less than 24 hours, 99.51% less than 72 hours	Met	2011-02-23

| Technology | External Data Sharing | Support interagency information sharing | annual | Number of searches against external biometric systems | Up | 1)6,197 daily submissions to external biometric systems 2) 72 hour search for CBP POE submissions in IAFIS | 2010-08-20 |

Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
2011	1) Increase the number of daily searches against an external biometric system by 5% 2) Ensure that 50% of CBP POE submissions are returned within 15 seconds in IAFIS	TBD	Not Due	2011-02-23

| Technology | External Data Sharing | Support interagency information sharing | annual | Number of searches against external biometric systems | Up | 1)IAFIS:6,507 daily submissions to external biometric systems 2)IAFIS:15 second response time for 50% CBP POE searches 3)NGI:Capacity for 3.650M RISC rapid searches/yr, 6 states participating | 2010-08-20 |

			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2012	1)IAFIS:Increase the number of daily searches against an external biometric system by 5% 2)IAFIS:10 second response time for 40% CBP POE 3)NGI:Capacity for 9.125M RISC rapid searches/yr, 9 states participating	TBD	Not Due	2011-02-23
Technology	External Data Sharing	Support interagency information sharing	annual	Number of searches against external biometric systems	Up	1)IAFIS:6,832 daily submissions to external biometric systems 2)IAFIS:10 second response time for 40% CBP POE 3)NGI:Capacity for 9.125M RISC rapid searches/yr, 9 states participating	2010-08-20
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2013	1)IAFIS:Increase the number of daily searches against an external biometric system by 5% 2)NGI:Ensure that 40% of CBP POE submissions are returned within 10 seconds 3)NGI:Capacity for 18.250M RISC rapid searches/yr, 13 states participating	TBD	Not Due	2011-02-23
Technology	External Data Sharing	Support interagency information sharing	annual	Number of searches against external biometric systems	Up	1)IAFIS:7,174 daily submissions to external biometric systems 2)NGI:10 second response time for 40% CBP POE 3)NGI:0% of records linked with DHS	2010-08-20

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IDENT 4)NGI:Capacity for 18.250M RISC rapid searches/yr, 13 states participating

			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2014	1)IAFIS:Increase daily sub to external biometric system by 5% 2)NGI:Ensure 65% CBP POE sub are return w/in 10 seconds 3)NGI:Increase the # of records linked w/DHS IDENT by 2% 4)NGI:Capacity for 20.075M RISC rapid searches/yr, 17 states participating	TBD	Not Due	2011-02-23
Technology	External Data Sharing	Support interagency information sharing.	annual	Number of searches against external biometric systems	Up	1)7,533 daily submissions to external biometric systems 2)10 second response time for 65% CBP POE in NGI 3) 2% of records linked with DHS IDENT.	2010-08-20
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2015	1)Increase the number of daily searches against an external biometric system by 5% 2)Ensure that 70% of CBP POE submissions are returned within 10 seconds in NGI 3)Increase the number of records linked with DHS IDENT by 2%	TBD	Not Due	2011-02-23

* - Indicates data is redacted.